

TPEG Securities LLC  
Member FINRA / SIPC  
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### **Customer Significant Business Disruption (SBD) Disclosure**

In accordance with regulatory requirements and professional business practices, our firm has developed procedures in the event of an SBD. We provide these to you for your information.

A significant business disruption (SBD) is a situation which may cause stress to our customers as we provide our customers with financial services in the areas of transaction order receipt and order entry.

SBDs may take the following forms as a result of weather events, fire, or terrorist actions. They may affect our firm and our customers in varying degrees: a firm-only business disruption, a disruption to a single building, a disruption to a business district, a city-wide business disruption, and a regional disruption

It is our intention to be in a position to continue to serve our customer's needs in any of these SBD events.

The two most serious challenges we will overcome is a regional loss of power and telecommunications.

To accomplish the goal of continuing to provide service to our customers in the event that we experience a SBD, we have developed and installed a Business Continuity Plan (BCP) which we feel will provide a seamless relocation of our office to an alternate location.

In an SBD situation:

1. Your primary contact method will remain our main telephone number 817-310-2900. In the event of a significant event, calls will be forwarded to cell phones with voice mail capabilities.
2. In the event that our office and cellular telephone services are unavailable, you may directly contact the company you invested with at the number listed in the Private Placement Memorandum.

We are committed to providing every customer with updates to this disclosure should we add improvements or make any changes to our Business Continuity Plan for possible significant business disruptions.

While it is highly recommended that each customer maintain all statements and investment documents, our firm and associated firms with which we conduct business have established similar Business Continuity Plans that contain alternate business locations and back up of all client records.